

FORUM OF REGULATORS (FOR)

Secretariat: C/o Central Electricity Regulatory Commission (CERC)
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Alok Kumar
Secretary, CERC/FOR

D.O.No.: 1/1/2009-Reg.Aff. (REC)/CERC
Dated: 27th August, 2010

Subject: Financial assistance for Implementation of REC framework.

Dear Sir/Madam

You are aware that Renewable Energy Certificate (REC) is a national level framework evolved by the Forum of Regulators (FOR). CERC has framed regulations for implementation of the framework, and has designated NLDC as Central Agency. The State Electricity Regulatory Commission (SERC) of your State has also notified relevant regulations in this context and your organization has been designated as the State Agency for the purposed of REC.

2. The Ministry of New and Renewable Energy (MNRE) has sanctioned financial assistance to FOR as support for the initial three years for implementation of REC framework. The financial assistance is proposed to be extended to the Central Agency and State Agencies to meet part of their manpower, hardware, software and infrastructure related requirements. A Committee has been constituted by Chairperson FOR to evolve the norms for disbursement of funds for the purpose.

3. The FOR Committee after assessing the above requirements has decided the norms for manpower, hardware and infrastructure related requirements for the State Agency. The necessary software has already been developed by the FOR.

4. It is requested that a proposal for financial assistance for your State Agency for manpower, hardware and infrastructure related requirements may be sent to us as per the format given in **Annexure-I**. The terms and conditions for release of funds and requirements of utilization certification etc. may be seen at **Annexure-II**. Please note that the proposal received from your agency will be placed before the FOR Committee for taking a decision on the quantum of support that can be provided out of the MNRE grant and funds as approved by the FOR Committee would be released to your agency directly under intimation to the concerned State Government department.

Yours faithfully

Sd/-
(Alok Kumar)

Encl: as above

To

All State Agencies

Support for State Agencies for REC implementation

1. One time fund released for the procurement of items with following specification:

Sl. No.	Item Description	Specification
1	Computer (2 Nos.)	Intel Core i3 Processor or equivalent, 320GB Hardisk, TFT Monitor (15"), Preloaded Windows & MS Office, 3 years warranty
2	UPS (1 No.)	2 KVA with 30 minutes backup, 3 years warranty
3	Laser Printer (1 No.)	17 ppm, Black & White, 8 MB RAM, 1200 dpi, 3 years warranty
4	Antivirus Software	2 users
5	Switch (1 No.)	8 port
6	AC (1 No.)	1.2 Tons with Stabilizer & Fittings
7	Table (2 Nos.)	5 ft x 2.5 ft (1 No.) 4 ft x 2 ft (1 No.)
8	Chair (6 Nos.)	
9	Almirah (2 Nos.)	
10	Fitting & Fixtures for Cabin (Cabin Size: 8' x 10')	
11	Broadband Internet Connection	2 Mbps
12	Fax Machine (1 No.)	
13	Fan & Light	
14	Telephone Connection	

2. Annually, funds would be released for the following, subject to grant being made available by MNRE:

a) Manpower

- One full time and one part time employee at the rate of one and half man day for a year
- The employees should be engaged on contract basis
- The employee engaged should possess the requisite qualification, experience as under:

QUALIFICATION	EXPERIENCE
<u>Full Time Employee</u> B.Tech or equivalent / MSc	<ul style="list-style-type: none">• 5 years or more, preferably on renewables.• Working knowledge of computer
<u>Part Time Employee</u> Graduate of any discipline.	<ul style="list-style-type: none">• 5 years or more, preferably on renewables.• Working knowledge of computer

b) Office Setup

1.	Broadband Internet Connection Rent
2.	Electricity
3.	Telephone

TERMS AND CONDITIONS COMPLIED WITH BY ANY STATE AGENCY AND CENTRAL AGENCY FOR RECEIVING FINANCIAL ASSISTANCE FOR THE FIRST THREE YEARS UNDER THE REC SCHEME

- i) The Central Agency and State Agencies will submit their separate activity wise detailed proposals for requirement of fund to the Committee constituted to oversee the utilization of the Fund by the end of October in the year preceding the year for which the grant-in-aid is sought so that total requirement of grant-in aid may get included in the budget of next year. However, the proposals for first year may be given immediately.
- ii) Fund shall be disbursed as per the requirements of Central Agency /State Agency and as approved by the Committee constituted to oversee utilization of funds. However, last release of fund in any of the financial years will be made on receipt of reasonable evidence of proper utilization of installment released earlier during the financial year. The unspent balance of the previous grant shall be taken into account in sanctioning/releasing the subsequent grant.
- iii) The Central Agency and State Agencies will maintain the accounts of grants-in-aid received from the Forum of Regulators (FOR) and furnish utilization certificate in the prescribed format along with statements of accounts.
- iv) The Central Agency and State Agencies will get the final expenses/accounts audited by auditors or by a Chartered Accountant, as the case may be, and submit these to the FOR secretariat , on the completion of an activity on the closure of financial year in which grant in aid released. The Committee shall have the right to inspection and audit of expenditure of the Central and State Agency.
- v) The accounting and auditing arrangements in respect of this Scheme will be the same as those prevailing in the institution/organization.
- vi) The Central Agency and State Agencies shall not apply for any financial aid/support to any other agencies of Government source towards the implementation of activities under this Scheme to avoid duplication.
- vii) The Central Agency and State Agencies will be required to prepare a quarterly Progress Report on the implementation of the REC framework together with an expenditure statement showing the amount actually spent under different heads during that quarter.

- viii) The Committee constituted to oversee the utilization of the Fund shall review the progress of implementation of REC frame work as well as amount actually spent under different heads during that quarter.
- ix) FOR Secretariat will furnish the utilization certificate of grant-in-aid received from the MNRE on the basis of utilization certificate received from the Central Agency and State Agency.
- x) The decision of the Committee constituted to oversee the utilization of the Fund on the question whether there has been breach or violation of any of the terms & conditions mentioned therein as well as the sanction letters shall be final and binding on the grantee.
- xi) Prior approval of the FOR Secretariat shall be obtained if the assets acquired by Central Agency and State Agencies out of this grants are disposed of within five years from the date of their installation.
- xii) The Central Agency and State Agencies shall not divert the grant.
- xiii) The Central Agency and State Agencies shall refund the amount of grant with interest thereon at the rates prescribed by the GOI if such allocated grant not utilised by them.
